

LOW -COST TWO-STAGE RECEIVER SYSTEM FOR DS-CDMATECHNICAL FIELD

5           This invention relates to techniques and apparatus for receiving Direct Sequence (DS) CDMA signals. This invention is especially suitable for DS CDMA location systems where low-cost and low power consumption are desirable.

10                           BACKGROUND OF THE INVENTION

For Direct Sequence Code Division Multiple Access (DS-CDMA) location systems, the transmitter may be turned on only several times per day, and may broadcast only a few frames of data. Additionally, the frame may be very short (200 bits per frame for example). Consequently, the transmitter is quiet for most of the time. However, in order to avoid missing any signal, a typical receiver will continuously perform a real-time correlation between a known pseudo-noise (PN) sequence and the input signal, as if a signal transmission were present. From the results of the correlation, a determination is made as to whether or not a signal is present, and whether the signal should be processed further. The correlation process constitutes a large proportion of the total processing required to demodulate and extract the data from the input signal. Thus, a great deal of processing is being done, even if no signal is present.

The correlation process requires a large amount of processing power in this type of receiver configuration, and must be performed in real-time. Consequently, the processing is typically performed using specialized hardware rather than a general purpose digital signal processor (DSP).

For a "burst" application, such as that in a location system, real-time processing to demodulate and extract the data is not required, provided that the incoming data can be stored for later processing. The amount of incoming data is

very large, so it is preferable that signal detection is performed so as to identify which portions of the incoming system should be stored.

There is therefore a need in the art for a signal detection system that can operate in real-time with a reduced processing requirement.

5 Further, if the signal detection and data demodulation/extraction can be performed in software on a DSP, a simpler, lower cost receiver can be designed.

### BRIEF DESCRIPTION OF THE DRAWINGS

10 The features of the invention believed to be novel are set forth with particularity in the appended claims. The invention itself however, both as to organization and method of operation, together with objects and advantages thereof, may be best understood by reference to the following detailed description of the invention, which describes certain exemplary embodiments of the  
15 invention, taken in conjunction with the accompanying drawings in which:

**FIG. 1** is a block diagram of an embodiment of the present invention.

**FIG. 2** is a diagrammatic representation of data storage in accordance with an embodiment of the present invention.

20 **FIG. 3** is a graph showing the effect of signal-to-noise ratio on bit error rates.

**FIG. 4** is a flow chart depicting an embodiment of the method of the present invention.

### 25 DETAILED DESCRIPTION OF THE INVENTION

While this invention is susceptible of embodiment in many different forms, there is shown in the drawings and will herein be described in detail specific embodiments, with the understanding that the present disclosure is to be considered as an example of the principles of the invention and not intended to

limit the invention to the specific embodiments shown and described. In the description below, like reference numerals are used to describe the same, similar or corresponding parts in the several views of the drawings.

This invention relates to a low-cost, two-stage receiver system for DS-  
5 CDMA signals. The first stage is signal detection with less quantizing bits per sample and less sampling points per chip information; the second stage is signal demodulation and extraction with full information. The receiver system may be implemented in software on a low-cost DSP or other suitable processor/controller.

**FIG. 1** is a block diagram of a DS-CDMA receiver in accordance with an  
10 embodiment of the present invention, and illustrates how incoming in-phase (I) and quadrature (Q) waveforms are used by a DSP to first perform signal detection and then perform sample collection of received signal burst. Referring to **FIG.1**, incoming in-phase (I) waveform 102 is received by analog-to-digital converter (ADC) 104. In the example embodiment shown in the figure, an 8-bit  
15 ADC is used, but ADCs of different resolution may be used depending upon the ratio of signal to noise in the waveform. The sampling rate of the ADC 104 is set by sample clock 106. In this example, the sample clock is operated at 40MHz, which corresponds to four times the chip rate. The output 110 from the ADC 104, which comprises bits d0-d7 of the digital sample, is passed to sample splitter 112.  
20 Similarly, the quadrature (Q) waveform 114 is received by analog-to-digital converter (ADC) 116 that in turn produces an 8-bit digital output 118. The 8-bit digital output 118 is passed to the sample splitter 112.

As an alternative to using two ADCs, the in-phase and quadrature waveforms may be passed to a two-into-one multiplexer followed by a single  
25 ADC.

The sample splitter 112 includes a 1-bit quantizer 120 that samples the inputs at 1 sample per chip with 1-bit resolution. In this example, the 1-bit quantization is obtained by selecting the most significant bit (MSB) d7 of the input. This approach avoids the need for explicit 1-bit sampling. This results in a 1-bit

bit-stream 122 at 1 sample per chip (1 SPC) for the I-channel and a 1-bit bit-stream 124 at 1 SPC for the Q-channel.

Additionally, the 8-bit samples from the ADCs are buffered in module 126. Four samples (two from the I-channel and two from the Q-channel) are passed  
5 together to a 32-bit bus 128. Other data configurations may be used without departing from the present invention.

The bit-streams 122 and 124, for the I- and Q- channels respectively, are passed to a digital signal processor (DSP) 132. When the DSP is in a signal detection mode, as indicated by box 130, the bit-streams are stored in a buffer  
10 134. The buffer 134 is configured to hold  $2N$  bits (where  $N=10$  in this example) of data. Once the first half of the buffer is full, the bit-stream will start to fill the second half of the buffer, and the data in the first half of the buffer will be moved away and processed. Once the second half of the buffer is full, the bit-stream will start to fill the first half of the buffer, and the data in the second half of the buffer  
15 will be moved away and processed. This process is repeated while the DSP remains in signal detection mode.

The data buffering is shown in more detail in **FIG. 2A** and **FIG. 2B**. **FIG. 2A** depicts an incoming data stream 202 containing a sequence of bits of data, each bit being represented as a sequence of chips (127 chips in this example).  
20 For purposes of example, we describe 127 chips; however, one of ordinary skill in the art will recognize that the present invention may be applied to any number of chips, up to and including, but not limited to, 512 or more chips. The data is stored into a series of sample buffers 208, 210, 212 etc. Only 6 of the  $N$  storage buffers are shown in the figure. Each sample buffer stores 127 samples (each sample being a chip).  
25 The incoming bits are generally spread across two adjacent buffers, so the correlation peaks 204, 206 etc., which occur at the beginning of each bit, occur part way through each sample buffer.

A more detailed representation of the sample buffers is shown in **FIG. 2B**. The buffers are filled from top left to bottom right in the diagram. The sample

buffer 0 (220) is filled with the first 127 samples, sample buffer 1 (222) with the next 127 samples, sample buffer 2 (224) with the next 127 samples and so on until sample buffer 9 (226) is filled. The data is then ready for processing. The buffer, 134 in **FIG. 1**, contains 20 sample buffers, each buffer holding the 127 chips representing 1-bit. The time taken to fill each sample buffer is  $T_b$ , the bit-period. The duration of each chip is  $T_c$ . In the figure, the  $n^{\text{th}}$  sample  $c(n)$  is stored in the location denoted by  $p.k$ , where  $M=127$  is the PN code length.  $p$  is the integer part or portion of  $n/M$ ;  $k$  is the remainder part or portion of  $n/M$ .

Referring again to **FIG. 1**, the  $N$ -bits of data taken from the first half of the buffer 134 are coherently added at 136. For example, if the pseudo-noise (PN) sequence has length 127 samples, then samples 0, 127, 254,...,  $127*(10-1)$  are added together, samples 1, 128, 255,...,  $127*(10-1)+1$  are added together etc. In general, if the PN sequence has length  $M$  and  $P$  averages are taken, the  $k^{\text{th}}$  coherent sum is

$$sum(k) = \sum_{p=0}^{P-1} c(k + Mp),$$

where  $c(n)$  is the  $n^{\text{th}}$  sample. This corresponds to summing the  $k^{\text{th}}$  column of samples in **FIG. 2B**. There are two reasons for performing averaging: to increase signal to noise ratio and to reduce the processing requirement for the DSP. At this point the averaged data contains 127 samples. These may be further quantized to 1-bit and stored as 4 32-bit words (or 8 16-bit words if a 16-bit DSP is used). The number of averages,  $P$ , may typically be equal to or less than 30, although this is not a requirement of the invention.

In the example described above, the incoming bits were assumed to be repeated. In an alternative embodiment, the incoming bits are assumed to have a predetermined bit pattern such as that in a training sequence. In this case the coherent average is calculated as

$$sum(k) = \sum_{p=0}^{P-1} c(k + Mp)pn(k, b(p)),$$

where  $b(p)$  is the value of the  $p^{\text{th}}$  bit in the training sequence and  $pn(k, b(p))$  is the  $k^{\text{th}}$  value of the pseudo-noise code for the bit  $b(p)$ . Since the starting point of the sequence will not be known in general, the sum is preferably calculated every  
 5 time a new bit ( $M$  samples) is received.

A PN code with a length of 127 samples can be stored in 4 32-bit words in the DSP. Since only 1-bit of resolution is used, the correlation operation at 138 effectively counts the number of bit positions that match between the PN code and the incoming data being correlated. The DSP can perform the correlation by  
 10 performing an exclusive-or operation, the result of which will indicate bit positions that differ. The exclusive-or operation can be performed on each of the 4 32-bit sample words, the results will have bits set in positions which did not match. These mismatched positions are counted, yielding the total number of mismatched positions. The counting may be performed via a look-up table, so as  
 15 to reduce computation. Subtracting the number of mismatched bits from the maximum possible number of matches gives the number of matches, which is equal to the correlation output for that iteration. Equivalently, the result of the exclusive-or operation can be inverted (using a bit-wise NOT operation). The sum of the bits then indicates the number of bits that matched and equals the  
 20 correlation. This correlation is performed at 138.

Since the starting point of the sequence will not be known in general, the correlation is done between the averaged sequence and all the cyclic shift versions of the PN sequence.

The correlation output is passed to signal processing and control module  
 25 140, where the correlation output is compared to a pre-determined threshold. Once the correlation output passes a pre-determined threshold, the DSP declares that a signal has been received and switches to acquisition mode, where the 1-bit data stream is abandoned and the 8-bit sample stream is used. Since the 1-bit

samples are only used for signal detection, they are discarded. Once the signal has been detected, the sample splitter may be signaled via control line 150 to indicate that the mode has changed from detection mode to collection mode.

After the signal detection algorithm indicates that a signal is present the DSP switches to signal collection and processing mode as illustrated by box 142 in **FIG. 1**. The first stage is data acquisition 144. In this stage the DSP simply collects the 8-bit I and Q samples from the 32-bit bus 128 and saves them into memory. No significant processing is done at this stage, since the high sample rate would require a very powerful DSP. In a DS-CDMA location system, the total transmission and time of a signal burst is known, so the DSP simply collects enough samples to ensure that the whole transmitted burst is collected. Once the required number of samples has been collected, the DSP can begin processing the transmitted burst. The DSP then stops receiving input from the ADCs and begin performing full signal processing on the collected samples. This stage of the processing need not be performed in real-time, so a very powerful DSP is not required. The processing comprises correlation of the incoming samples with the stored PN code at 146, followed by demodulation and timing at 148. In this example, the incoming waveforms are sampled at four times the chip rate. Hence 4 8-bit correlations are performed per chip for each channel. The 4 correlations allow module 148 to synchronize the timing between the PN code and the incoming signal more accurately. The results are passed to signal processing and control module 140, where further processing may be performed before the data is passed to a host processor. The DSP then returns to the signal detection mode.

An important feature of the present invention is the ability to detect an incoming signal based upon a certain correlation, such as upon a 1-bit correlation. The performance of this approach compared with a full analog correlation was determined via computer simulation. The results are shown in the exemplary graph in **FIG. 3**. The graph shows a plot of the bit error rate (BER)

as a function of the signal to noise ratio (SNR). The SNR is calculated as  $E_b/N_0$  measured in decibels (dB), where  $E_b$  is the energy of a bit and  $N_0$  is the noise level. The 1-bit correlation shows a loss equivalent to 2 dB SNR compared with the analog correlation.

5        The coherent averaging described above can be used to compensate for the 2 dB SNR loss. For example, averaging over 10-bits produces a gain of 10 dB SNR, which more than compensates for the 2 dB SNR loss. A incoming signal with 10 dB SNR will result in a 1-bit correlation with a 18 dB SNR, which is easily detected.

10        The averaged data contains 127 samples. This is correlated with each of the 127 circular shifts of the PN code. Each bit is averaged 10 times, so the available processing time is  $10 \cdot 127 \cdot T_c$ , where  $T_c$  is chip duration. For example, if the chip rate is  $R_c = 10$  MHz, then  $T_c = 0.1 \mu s$  and one correlation must be performed in less than  $10T_c = 1 \mu s$ . In other words it takes  $10T_b$  to fill the each  
15        half of the buffer, where  $1/T_b$  is the bit rate, and the processing must be completed before the next half of the buffer is filled. The 127 correlations must be completed in less than  $10T_b/127 = 10T_c$  seconds. Although this is a relatively short period of time, the correlations may be performed in very few operations by making use of the exclusive-or operation.

20        In an example implementation, the incoming sample data are quantized into 1-bit samples and processed via software with a DSP processor. Using 1-bit samples allows the DSP in this example to perform correlation of the 1-bit samples at an average rate of about 83 nano-seconds per correlation output, or 2.65 micro-seconds per 32 correlation outputs.

25        Exemplary code for performing a correlation on 1-bit data follows. It is noted and will be understood by those of ordinary skill in the art that the following 1-bit correlation process is meant as an exemplary embodiment and should not be interpreted as limiting the present invention to 1-bit correlation. Any type of



correlation data sufficient to meet the timing requirements required for desired signal detection may be employed.

```

    unsigned int buffer[5];      /* buffer for 128 bits + 32 new bits */
    extern const unsigned int pn[5]; /* 128 bit length PN code      */
5    extern const int bitCount[256]; /* table for counting bit matches */

    void oneBitCorr(int corrOut[32], unsigned int newSamples)
    {
        unsigned int matches[4];
10        int i,j,sum;

        /* add new samples to end of buffer */
        buffer[5] = newSamples;

15        for(i=0;i<32;i++)
        {
            /* shift 128 input buffer left one bit */
            for(j=0;j<4;j++)
                buffer[j] = (buffer[j]<<1) | ((0x80000000 & buffer[j+1]) ? 1 : 0);
20            buffer[j]<<=1;

            /* correlate PN code to input samples, save number of bit matches */
            for(j=0;j<4;j++)
                matches[j] = ~(pn[j]^buffer[j]);

25        /* count number of matches between input samples and PN sequence
        * bitCount[] is a 256 entry table where entry "i" contains the
        * number of bits that are set in value "i". For example,
        * bitCount[0] = 0 and bitCount[7F] = 7, etc.

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        */
        for(j=0,sum=0; j<4; j++)
        {
            sum += bitCount[(matches[j] & 0x000000FF)>>0];
5           sum += bitCount[(matches[j] & 0x0000FF00)>>8];
            sum += bitCount[(matches[j] & 0x00FF0000)>>16];
            sum += bitCount[(matches[j] & 0xFF000000)>>24];
        }
        corrOut[i] = sum;    /* save correlation output */
10    }

    return;
}

```

15        Either the I-channel or the Q-channel can be correlated, or both channels can be correlated and the correlation values summed. In the latter case, 166 nanoseconds are required for the processing.

      In order to enable coherent averaging with a known training sequence, the 1-bit correlations may have to be computed every M samples rather than every  
20 M\*10 sample. The processing time is then 830 nanoseconds, which is still less than the 1 $\mu$ s available to perform a full correlation.

      The algorithm could be implemented in hardware or on a DSP.

      The invention provides a method for detecting and decoding DS-CDMA signals requiring reduced processing. As a consequence, cheaper hardware may  
25 be used and less electrical power is consumed.

      A flow chart depicting the method of the invention is shown in **FIG. 4**. Following start block 402, the signal detection mode is selected at block 404. At block 406, the input I- and Q-channel waveforms are sampled with 8-bit resolution. Other resolutions may be used, resulting in different signal-to-noise

ratios. The sampling rate is at least one sample per chip and preferably more samples per chip, so that a more accurate time-alignment may be made later. At block 408, the most significant bit (MSB) of the sampled data is selected from each channel and sent to the processor, which is preferably a DSP. In the preferred embodiment, only one MSB per chip is used, so as to minimize computation. The 1-bit data (the MSBs) is stored in a buffer at block 410. The buffer is preferably internal to the DSP, but may be an external memory. In the preferred embodiment, the buffer stores  $2N$  bits of information, each bit being represented by  $M$  chips. At decision block 412, a check is made to determine if one half of the buffer is full. If not, as depicted by the negative branch from decision block 412, flow returns to block 406 and more data is collected. If one half of the buffer is full, as depicted by the positive branch from decision block 412, flow continues to block 414, where a coherent average of  $N$  consecutive bits is made for each of the  $M$  chips. At block 416 a 1-bit correlation with the stored pseudo-noise (PN) code is performed, preferably by using an exclusive-or operation and determining the number of bits matching between the 1-bit samples and the PN code. At decision block 418, the correlation output is compared with a predetermined threshold to determine if a signal is present. If not, as depicted by the negative branch from decision block 418, flow returns to block 406 and more data is collected. If the correlation output exceeds the predetermined threshold, as depicted by the positive branch from decision block 418, flow continues to block 420, where the processor switches to collection and processing mode. Signal detection can be performed using the I-channel, the Q-channel or both channels together. In collection and processing mode, the I and Q-channel waveforms are sampled with 8-bit resolution at block 422 and at block 424 the 8-bit samples are passed to the DSP where they are collected. Sufficient samples are collected that the complete burst of incoming signal is stored for processing. The 8-bit samples may be continuously stored in a circular buffer, even during the signal detection mode. This prevents data from the start of a

transmission from being lost. At block 426, the 8-bit I and Q samples are correlated with the PN code. If over-sampling of the input is used, for example 4 samples per chip, then the correlations are calculated for each sample. At block 428 the correlation outputs are used to time-align the incoming signal with the PN code sequence and the signals are demodulated to recover the transmitted data. At block 430, the recovered data is passed to a host processor for further processing. Flow then returns to block 404, where the signal detection mode is again selected and the whole process repeated.

Those of ordinary skill in the art will recognize that the present invention has been described in terms of exemplary embodiments based upon use of a programmed processor forming a part of the DS-CDMA receiver. However, the invention should not be so limited, since the present invention could be implemented using hardware component equivalents such as special purpose hardware and/or dedicated processors, which are equivalents to the invention as, described and claimed. Similarly, general purpose computers, microprocessor based computers, digital signal processors, microcontrollers, dedicated processors, custom circuits, ASICS and/or dedicated hard wired logic may be used to construct alternative equivalent embodiments of the present invention. Moreover, the invention should not be restricted to the use of 1-bit per sample, MSB, or 1 sample per chip for signal detection. These values are exemplary and thus not intended to be restrictive of the present invention.

While the invention has been described in conjunction with specific embodiments, it is evident that many alternatives, modifications, permutations and variations will become apparent to those of ordinary skill in the art in light of the foregoing description. Accordingly, it is intended that the present invention embrace all such alternatives, modifications and variations as fall within the scope of the appended claims.

What is claimed is: